

Jc944 U.S. PTO

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PTO/SB/05 (03-01)

Approved for use through 10/31/2002, OMB 0601-0632

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

- Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for processing)
- Applicant claims small entity status.
See 37 CFR 1.27.
- Specification [Total Pages 22]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Filing for Sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
- Drawing(s) (35 U.S.C. 113) [Total Sheets 13]
- Oath or Declaration [Total Pages]
 - a. Newly executed (original or copy)
 - b. Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 18 completed)
- DELETION OF INVENTOR(S)**
Signed statement attached deleting Inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.53(b).
- Application Data Sheet. See 37 CFR 1.76

18. If a CONTINUATING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

 continuation divisional Continuation-in-part (CIP)

of prior application No. _____ / _____

Prior application information:

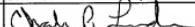
Examiner _____

Group Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

<input checked="" type="checkbox"/> Customer Number or Bar Code Label	008791 (Insert Customer No. or Bar code label here)	or <input type="checkbox"/> Correspondence address below
Name _____		
Address _____		
City _____	State _____	Zip Code _____
Country _____	Telephone _____	Fax _____

Name (Print/Type)	Charles P. Landrum, Patent Agent	Registration No. (Attorney/Agent)	46,855
Signature			

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

11/05/01
09/93575

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FEE TRANSMITTAL

for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 604.00)

Complete if Known

Application Number	Filed concurrently herewith
Filing Date	November 7, 2001
First Named Inventor	Sanjay Dabral
Examiner Name	Not yet assigned
Group Art Unit	Not yet assigned
Attorney Docket No.	05053.P001

METHOD OF PAYMENT

1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account 02-2666
Number
Deposit Account Blakely, Sokoloff, Taylor & Zafman LLP
Name

- Charge Any Additional Fee Required
Under 37 CFR 1.16 and 1.17
 Applicant claims small entity status.
See 37 CFR 1.27

2. Payment Enclosed:

- Check Credit card Money Order Other

FEES CALCULATION**1. BASIC FILING FEE**

Large Entity	Small Entity	Fee	Fee	Fee	Fee	Fee Description
Code (\$)	Code (\$)	Code (\$)	Code (\$)	Code (\$)	Code (\$)	
101	740	201	370	101	370	Utility filing fee
106	330	206	165	106	165	Design filing fee
107	510	207	255	107	255	Plant filing fee
108	740	206	370	108	370	Reseue filing fee
114	160	214	80	114	80	Provisional filing fee

SUBTOTAL (1) (\$ 370.00)

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
32	-20% = 12	X 9	108
Independent 6	- 3% = 3	X 42	126
Claims			+ 234
Multiple Dependent			

Large Entity Small Entity

Fee	Fee	Fee	Fee	Fee Description
Code (\$)	Code (\$)	Code (\$)	Code (\$)	
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	84	209	42	** Reseue independent claims over original patent
110	18	210	9	** Reseue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 234.00)

** or number previously paid, if greater; For Reissues, see above

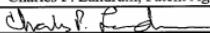
FEES CALCULATION (continued)**3. ADDITIONAL FEES**

Large Entity	Small Entity	Fee	Fee	Fee	Fee Description	Fee Paid
Code (\$)	Code (\$)	Code (\$)	Code (\$)	Code (\$)		
105	130	205	65	105	65	Surcharge - late filing fee or oath
127	50	227	25	127	25	Surcharge - late provisional filing fee or cover sheet
139	130	139	130	139	130	Non-English specification
147	2,520	147	2,520	147	2,520	For filing a request for ex parte reexamination
112	920*	112	920*	112	920*	Requesting publication of SIR prior to Examiner action
113	1,840*	113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action
115	110	215	55	115	55	Extension for reply within first month
116	400	216	200	116	200	Extension for reply within second month
117	920	217	460	117	460	Extension for reply within third month
118	1,440	218	720	118	720	Extension for reply within fourth month
128	1,960	228	980	128	980	Extension for reply within fifth month
119	320	219	160	119	160	Notice of Appeal
120	320	220	160	120	160	Filing a brief in support of an appeal
121	280	221	140	121	140	Request for oral hearing
138	1,510	138	1,510	138	1,510	Petition to institute a public use proceeding
140	110	240	55	140	55	Petition to revive - unavoidable
141	1,280	241	640	141	640	Petition to revive - unintentional
142	1,280	242	640	142	640	Utility issue fee (or reissue)
143	460	243	230	143	230	Design issue fee
144	620	244	310	144	310	Plant issue fee
122	130	122	130	122	130	Petitions to the Commissioner
123	50	123	50	123	50	Processing fee under 37 CFR 1.17(q)
126	180	128	160	126	160	Submission of information Disclosure Stmt
581	40	581	40	581	40	Recording each patent assignment per property (times number of properties)
146	740	246	370	146	370	Filing a submission after final rejection (37 CFR § 1.129(a))
149	740	249	370	149	370	For each additional invention to be examined (37 CFR § 1.129(b))
179	740	279	370	179	370	Request for Continued Examination (RCE)
169	900	169	900	169	900	Request for expedited examination of a design application
Other fee (specify) _____						

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ -0-

SUBMITTED BY

Name (Print/Type)	Charles P. Landrum, Patent Agent	Registration No. (Attorney/Agent)	46,855	Telephone	512-330-0844
Signature				Date	November 6, 2001

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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**NONPUBLICATION REQUEST
UNDER
35 U.S.C. 122(b)(2)(B)(i)**

First Named Inventor	Sanjay Dabral
Title	FABRICATION OF AN ION EXCHANGE POLISH PAD
Atty Docket Number	05053.P001

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

I hereby request that the attached application not be published under 35 U.S.C. 122(b).

November 6, 2001

Date

Signature

Charles P. Landrum, Patent Agent
Reg. No. 46,855

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(ii)).**

Burden Hour Statement: This collection of information is required by 37 CFR 1.213(a). The information is used by the public to request that an application not be published under 35 U.S.C. 122(b) (and the PTO to process that request). Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 6 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Certificate under 37 CFR 1.10 of Mailing by "Express Mail"

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November 6, 2001

Date of Deposit

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Signature of person mailing correspondence

Reina R. Bernfeld

Typed or printed name of person mailing correspondence

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